VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS (37 CFR 1.9(f) AND 1.27 (c)) - SMALL BUSINESS CONCERN

Docket No. L01-03CIP3

Serial No.		Filing Date	Patent No.	Issue Date					
Geriai No.		Herewith	ratent No.	issue Date					
Applicant/ Sanberg, Shytle and Silver Patentee:									
Invention:									
METHOD OF TRE	ATING CO	OGNITIVE DEFICITS IN LEAD	RNING AND MEMORY						
I hereby declare t	hat I am:								
NAME OF CONCERN: LAYTON BIOSCIENCE, INC.									
ADDRESS OF CONCERN: 709 East Evelyn Avenue, Sunnyvale, CA 94086									
] I hereby declare that the above-identified small business concern qualifies as a small business concern as defined in									
13 CFR 121.3-18	, and repro	oduced in 37 CFR 1.9(d), for pu	urposes of paying reduced fees	under Section 41(a) and (b)					
of Title 35, United States Code, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the									
average over the	average over the previous fiscal year of the concern of the persons employed on a full-time, part-time or temporary								
_	basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or								
has the power to	-		,	party or particle controls or					
∏ ≒I hereby declare t	hat rights	under contract or law have bee	en conveyed to and remain with	the small business concern					
	_	to the above identified invention	_						
■ the second representation is a second representation in the second representation is a second representation representation in the second representation is a second representation representation in the second representation represen	pecificatio	on filed herewith with title as liste	ed above.						
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☐ the p	atent iden	tified above.							
				,					
organization havi	ng rights t in the inve	to the invention is listed on the entor, who could not qualify as	s concern are not exclusive, or next page and no rights to the an independent inventor under are under 37 CFR 1.9(d) or a	ne invention are held by any er 37 CFR 1.9(c) or by any					

Sent By: Barbara J. Luther, Char

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Page 2 of 2

	obligation under	th person, concern or	organization exi or organization i	s listed below.		
	FULL NAME		ال	Small Business Concem	0	Nonprofit Organization
	ADDRESS	(T) Individual	а	Small Business Concern		Nonprofit Organization
	ADDRESS			Small Business Concern		Nonprofit Organization
The first of the second	ADDRESS	☐ Individual	0	Small Business Concern		Nonprofit Organization
The man was now and the limit of the limit o	I acknowledgentitlement to maintenance I hereby decinformation awillful false Stille 18 of the any patent is NAME OF PETITLE OF PEOTHER THA	pe the duty to file, in small entity status fee due after the dat clare that all statement and belief are believed statements and the life United States Code swing thereon, or any ERSON SIGNING: RSON SIGNING N OWNER:	this application is prior to paying e on which status ints made herein id to be true; and to so made are e, and that such to patent to which Cary Snabl President 6 105 Reserve	or patent, notification of and, or at the time of paying as a small entity is no long of of my own knowledge are difurther that these statement punishable by fine or imprishable by fine or imprishable statements may this verified statement is directly.	ty change in the earlies er appropriate true and the onment, or begogardize the ected.	status resulting in loss of toof the issue fee or any e. (37 CFR 1.28(b)) at all statements made on the with the knowledge that oth, under Section 1001 of e validity of the application.